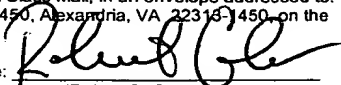




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(Robert B. Cohen)

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Docket No.: SONYTA 3.3-139
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Asano et al.

Application No.: 09/937,120

Group Art Unit: 2132

Filed: December 17, 2001

Examiner: Not Yet Assigned

For: DATA PROCESSING APPARATUS AND
DATA PROCESSING METHOD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

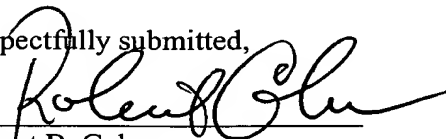
Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: October 21, 2003

Respectfully submitted,

By



Robert B. Cohen

Registration No.: 32,768

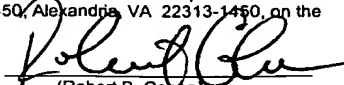
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000

Attorney for Applicant



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Dated: October 21, 2003 Signature: 
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Docket No.: SONYTA 3.3-139
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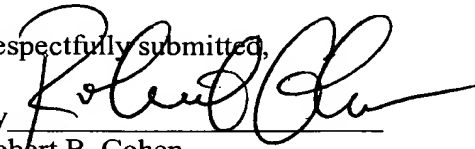
INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a supplementary partial search report which issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 21, 2003

Respectfully submitted,

By Robert B. Cohen
Registration No.: 32,768
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/937,120	
			Filing Date	December 17, 2001	
			First Named Inventor	Tomoyuki Asano	
			Art Unit	2132	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONYTA 3.3-139

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	FR-2772532-A1	06-18-1999	Schlumberger Industries SA		
	BB	WO-00/49764-A1	08-24-2000	Sun Microsystems, Inc.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	W. FORD, "Computer Communications Security: Integrity Check-Values (Seals)", Computer Communications Security, 1994, pp. 75-77, 116-117.	✓

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Examiner Signature		Date Considered	
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